



United States Department of the Interior

U.S. GEOLOGICAL SURVEY (USGS)

EMPLOYEE FINANCIAL INTERESTS CERTIFICATION

I have received a copy of the **Financial Guide for USGS Employees**.

I have reviewed this document and am aware my employment with USGS establishes certain restrictions on my personal financial holdings as well as those of my spouse and dependent children. Specifically, I understand that the USGS Organic Act prohibits my holding any financial interest (direct or indirect) in oil, gas or mining activities on Federal lands and the USGS Conflict of Interest Policy sets certain "limited amounts" of financial interests I may hold in oil, gas or mining activities on private lands in the United States. I am aware the **Financial Guide for USGS Employees** contains specific, by-name information on companies, stocks and mutual funds that I, my spouse and dependent children are absolutely prohibited from owning, as well as those which may be owned in only limited amounts.

I certify that I do not have any personal or private interest (direct or indirect) in oil, gas or mining activities on Federal lands, that I do not own any stock in companies that are absolutely prohibited to all USGS employees and that I in am in compliance with the USGS Organic Act.

I also certify that I do not have any substantial personal or private interest (direct or indirect) in any oil, gas, or other minerals on private land in the United States and that I own less than the "limited amount" of stocks or mutual funds that are subject to the USGS Conflict of Interest Policy as explained in the **Financial Guide for USGS Employees**.

Employee's Name (printed)

Employee's Signature

Title / Position

Date

INSTRUCTIONS

1. All USGS employees must complete this certification.
2. Employees who are unable to sign the certificate because they have "prohibited" financial holdings or financial holdings in excess of "limited amounts" must promptly submit a written statement of facts to their Servicing Personnel Office and the USGS Ethics Office, Mail Stop 603, 12201 Sunrise Valley Drive, Reston, VA 21092.
3. Employees with questions should contact the USGS Ethics Office by telephone or e-mail.
4. Signed certifications shall be sent to and maintained by the employee's Servicing Personnel Office.

PRIVACY ACT NOTICE

AUTHORITY: 43 U.S.C. § 31(a) and 5 U.S.C. § 301. PURPOSE: This certification must be signed. Failure to do so may be cause for denying appointment as an USGS employee. This certification is an official record that you understand and are in compliance with the USGS Organic Act and the USGS Conflict of Interest Policy. This certification will be part of your official USGS record of employment. It will be considered confidential, but may be disclosed to certain authorized personnel.